



VANTAGE CAPITAL

**Vantage GreenX Fund Advisors (Pty) Ltd**

www.vantagecapital.co.za

Registration No: 2012/216034/07

FSB Licence no.: 44668

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**Details of Financial Services Provider**

Registered name	VANTAGE GREENX FUND ADVISORS (Pty) Ltd
Registration number	2012/216034/07
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Financial services board compliance	Registered As Financial Services Provider
License Number	License no: 44668
Compliance officer	Moonstone Compliance (Pty) Ltd
Contact details	Sharen Gerald T: +27 11 969 1649 <a href="http://www.moonstoneinfo.com">www.moonstoneinfo.com</a> <a href="mailto:SGerald@moonstonecompliance.co.za">SGerald@moonstonecompliance.co.za</a>
Key individual	Devin Shutte
Products approved	CATEGORY I Securities and Instruments : Shares Securities and Instruments : Money market instruments Securities and Instruments : Debentures and securitised debt Securities and Instruments : Warrants, certificates and other instruments Securities and Instruments : Bonds Securities and Instruments : Derivative instruments CATEGORY II - Discretionary FSP Securities and Instruments : Shares Securities and Instruments : Money market instruments Securities and Instruments : Debentures and securitised debt Securities and Instruments : Warrants, certificates and other instruments Securities and Instruments : Bonds Securities and Instruments : Derivative instruments

Professional indemnity and directors & officers insurance	Marsh Private Equity Management Liability Insurance
Complaints resolution procedure	<i>Vantage GreenX Fund Advisors (VGFA) is committed to complying with the Financial Advisory And Intermediary Services Act No. 37, of 2002. A Complaints Resolution Procedure and Conflict of Interest Management Policy have been adopted and are available at VGFA's office or upon request. If you have any queries in this regard, please send an e-mail to <a href="mailto:busi@vantagecapital.co.za">busi@vantagecapital.co.za</a>.</i>